

STATEMENT UNDER 37 CFR 3.73(B)Applicant/Patent Owner: Jay C. Pershing, Eric S. Sachs, and Ernest F. SandersApplication No./Patent No. 10/780,151Filed/Issue Date: February 17, 2004Entitled: COMPOSITIONS AND METHODS FOR DEPLOYING A TRANSGENIC REFUGE AS A SEED BLENDMonsanto Technology LLC, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015280, Frame 0324, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (*Le.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.081]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature

May 17, 2007

Date

Robert E. Hanson, Reg. No. 42,628

Printed or Typed Name

512/474-5201

Telephone Number

Attorney

Title

MONS:151US

File Code



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



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OCTOBER 25, 2004

PTAS

MONSANTO COMPANY
GAIL WUELLNER, TIMOTHY K. BALL
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ST. LOUIS, MISSOURI 63167

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/22/2004

REEL/FRAME: 015280/0324
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PERSHING, JAY C.

DOC DATE: 11/09/2001

ASSIGNOR:

SACHS, ERIC S.

DOC DATE: 11/16/2001

ASSIGNOR:

SANDERS, ERNEST F.

DOC DATE: 11/09/2001

ASSIGNEE:

MONSANTO TECHNOLOGY LLC
800 NORTH LINDBERGH
ST. LOUIS, MISSOURI 61367

Assigned
Recordation

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SERIAL NUMBER: 10780151

FILING DATE: 02/17/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: COMPOSITIONS AND METHODS FOR DEPLOYING A TRANSGENIC REFUGE AS A
SEED BLEND

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

WHEREAS, WE, the undersigned, have made certain invention or inventions which are disclosed in patent application(s) and/or provisional patent application(s) entitled:

Method for Deploying a Transgenic Refuge

identified as U.S. Serial No. 09/972,012 and filed on October 5, 2001 ; and

WHEREAS, Monsanto Technology LLC, of St. Louis, Missouri, a Corporation of the State of Delaware, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, FOR GOOD AND VALUABLE CONSIDERATION, the receipt of which is hereby acknowledged, WE do hereby sell, assign and transfer to said Monsanto Technology LLC, its successors and assigns, the entire right, title and interest in and to said invention or inventions, in any form or embodiment thereof, and in and to said application(s); and in and to any and all applications filed in any country based thereon, including the right to file applications in countries other than the country of priority filing under the provisions of any international convention; also in and to any and all improvements on said invention or inventions now or hereafter made by us as employee(s), agent(s) or contractor(s) of said Monsanto Technology LLC; also the entire right, title and interest in and to any and all patents, including reissues and extensions thereof, to be obtained in any country upon said invention, inventions or improvements, and any and all continuing applications, including divisional, continuation and continuation-in-part applications, substitute applications, and applications claiming benefit of an earlier filed provisional application, which may be filed upon said invention, inventions or improvements in any country; and

WE hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said Monsanto Technology LLC, as assignee of the entire interest.

WE further agree, without any payment by Monsanto Technology LLC other than in reimbursement of reasonable expenses we may incur, to communicate to said Monsanto Technology LLC, its representatives or agents, any facts relating to said invention, inventions or improvements, including evidence for purposes of interference, opposition or other legal proceedings, whenever requested; testify in any interference, opposition or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

IN TESTIMONY WHEREOF, WE have hereto set our hands on the dates set after our signatures.

Signature: Jay C. Pershing

Date: Nov 9, 2001

Name: Jay C. Pershing

City and state or country of residence: Webster Groves, Missouri

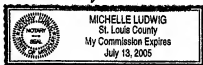
State of Missouri }
County of St. Louis } ss.

On this 9th day of November, 2001, before me personally appeared Jay C. Pershing, to me known to be the person who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

Michelle Ludwig
Notary Public or Consular Officer

My Commission expires 7/13/2005



Signature: Eric S. Sachs

Date: 11-16-01

Name: Eric S. Sachs

City and state or country of residence: Chesterfield, Missouri

State of Missouri }
County of St. Louis } ss.

On this 16th day of November, 2001, before me personally appeared Eric S. Sachs, to me known to be the person who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

Michelle Ludwig
Notary Public or Consular Officer

My Commission expires 7/13/2005



Signature: Ernest F. Sanders

Date: Nov 9 / 01

Name: Ernest F. Sanders

City and state or country of residence: Lake St. Louis, Missouri

State of Missouri

} ss.

County of St. Louis

On this 9th day of November, 2001, before me personally appeared Ernest F. Sanders, to me known to be the person who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

Michelle Ludwig
Notary Public or Consular Officer

My Commission expires 7/13/2005

